

A NOTE
ON NONLOCAL DISCRETE PROBLEMS
INVOLVING
SIGN-CHANGING KIRCHHOFF FUNCTIONS

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Abstract. In this note, we establish a multiplicity theorem for a nonlocal discrete problem of the type

$$\begin{cases} -\left(a \sum_{m=1}^{n+1} |x_m - x_{m-1}|^2 + b\right) (x_{k+1} - 2x_k + x_{k-1}) = h_k(x_k), & k = 1, \dots, n, \\ x_0 = x_{n+1} = 0 \end{cases}$$

assuming $a > 0$ and (for the first time) $b < 0$.

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1. INTRODUCTION

If Ω is a bounded domain of $\mathbb{R}^n$ and $K : [0, +\infty[\rightarrow \mathbb{R}$, $\varphi : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ are two given functions, the nonlocal problem

$$\begin{cases} -K(\int_{\Omega} |\nabla u(x)|^2) \Delta u = \varphi(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega \end{cases}$$

is certainly among the most studied ones in today's nonlinear analysis (we refer to [4] for an introduction to the subject).

In checking the relevant literature, one can realize that, in the majority of the papers, one assumes $K(t) = at + b$ with $a > 0$ and $b \geq 0$ and, in any case, that the Kirchhoff function K is assumed to be, in particular, continuous and non-negative in $[0, +\infty[$.

However, it is natural to ask what happens when at least one of these properties fails.

The case where K can be discontinuous in $[0, +\infty[$ has been considered for the first time in [5], for $n = 1$, and then in [6] for the general case (see also [1–3]). In these papers, however, K is non-negative.

The papers dealing with a sign-changing function K are more numerous, but in each of them it is assumed that $K(t) = at + b$ with $a < 0$ and $b \geq 0$. The first of these papers was [8].

In the present very short note, we are interested in the discrete counterpart of the above problem. That is to say, given n continuous functions $f_k : \mathbb{R} \rightarrow \mathbb{R}$ ($k = 1, \dots, n$), we deal with the problem

$$\begin{cases} -K\left(\sum_{h=1}^{n+1} |x_h - x_{h-1}|^2\right) (x_{k+1} - 2x_k + x_{k-1}) = f_k(x_k), & k = 1, \dots, n, \\ x_0 = x_{n+1} = 0. \end{cases}$$

Also for this discrete problem, we can repeat what we said before, even in a stronger way: it seems that in each paper on the subject, the function K is continuous and non-negative in $[0, +\infty[$.

Our aim is to establish a multiplicity result for this problem where (for the first time) the Kirchhoff function K changes sign.

2. RESULTS

Before stating our result, we recall the following two theorems which will be key tools used in our proof.

Theorem 2.1 ([7]). *Let X be a topological space, let Y be a convex set in a topological vector space and let $h : X \times Y \rightarrow \mathbb{R}$ be lower semicontinuous and inf-compact in X , and continuous and quasi-concave in Y . Also, assume that*

$$\sup_Y \inf_X h < \inf_X \sup_Y h.$$

Moreover, let $\varphi : X \rightarrow \mathbb{R}$ be a lower semicontinuous function such that

$$\sup_X \varphi - \inf_X \varphi < \inf_X \sup_Y h - \sup_Y \inf_X h.$$

Then, for each convex set $S \subseteq Y$, dense in Y , there exists $\tilde{y} \in S$ such that the function $h(\cdot, \tilde{y}) + \varphi(\cdot)$ has at least two global minima.

Theorem 2.2 ([7]). *Let X be a topological space, let H be a real Hilbert, let Y be a closed ball in H centered at 0, and let $Q : X \rightarrow \mathbb{R}$, $\psi : X \rightarrow H$. Assume that the functional $x \mapsto Q(x) - \langle \psi(x), y \rangle$ is lower semicontinuous for each $y \in Y$, while the functional $x \mapsto Q(x) - \langle \psi(x), y_0 \rangle$ is inf-compact for some $y_0 \in Y$. Moreover, assume that, for each $x \in X$, there exists $u \in X$ such that*

$$Q(x) = Q(u)$$

and

$$\psi(x) = -\psi(u).$$

Finally, assume that there is no global minimum of Q at which ψ vanishes. Then, we have

$$\sup_{y \in Y} \inf_{x \in X} (Q(x) - \langle \psi(x), y \rangle) < \inf_{x \in X} \sup_{y \in Y} (Q(x) - \langle \psi(x), y \rangle).$$

Our main result is as follows:

Theorem 2.3. *Let $K : [0, +\infty[\rightarrow \mathbb{R}$, $f_1, \dots, f_n : \mathbb{R} \rightarrow \mathbb{R}$ be $n+1$ continuous functions satisfying the following conditions:*

- (a) $\inf_{t>0} \int_0^t K(s)ds < 0$ and $\liminf_{t \rightarrow +\infty} \frac{\int_0^t K(s)ds}{t} > 0$,
- (b) $\limsup_{|t| \rightarrow +\infty} \frac{|\int_0^t f_k(s)ds|}{t^2} < +\infty$ for each $k = 1, \dots, n$,
- (c) for each $k = 1, \dots, n$, the function $t \rightarrow \int_0^t f_k(s)ds$ is odd and vanishes only at 0.

Then, for each $r > 0$, there exists a number $\delta > 0$ with the following property: for every n -tuple of continuous functions $g_1, \dots, g_n : \mathbb{R} \rightarrow \mathbb{R}$ satisfying

$$\max_{1 \leq k \leq n} \left(\sup_{t \in \mathbb{R}} \int_0^t g_k(s)ds - \inf_{t \in \mathbb{R}} \int_0^t g_k(s)ds \right) < \delta,$$

there exists $(\tilde{\mu}_1, \dots, \tilde{\mu}_n) \in \mathbb{R}^n$, with $\sum_{k=1}^n |\tilde{\mu}_k|^2 < r^2$, such that the problem

$$\begin{cases} -K(\sum_{h=1}^{n+1} |x_h - x_{h-1}|^2)(x_{k+1} - 2x_k + x_{k-1}) = g_k(x_k) + \tilde{\mu}_k f_k(x_k), & k=1, \dots, n, \\ x_0 = x_{n+1} = 0 \end{cases}$$

has at least three solutions.

Proof. Fix $r > 0$. First, we are going to apply Theorem 2.2. In this connection, take

$$X = \{(x_0, x_1, \dots, x_n, x_{n+1}) \in \mathbb{R}^{n+2} : x_0 = x_{n+1} = 0\},$$

with the scalar product

$$\langle x, y \rangle_1 = \sum_{k=1}^{n+1} (x_k - x_{k-1})(y_k - y_{k-1}).$$

We denote by $\langle \cdot, \cdot \rangle_2$ the usual scalar product on $\mathbb{R}^n$, that is,

$$\langle x, y \rangle_2 = \sum_{k=1}^n x_k y_k.$$

Fix $\gamma > 0$ so that

$$\|x\|_2 \leq \gamma \|x\|_1 \quad (2.1)$$

for all $x \in X$. Consider the functions $Q : X \rightarrow \mathbb{R}$ and $\psi : X \rightarrow \mathbb{R}^n$ defined by

$$Q(x) = \frac{1}{2} \int_0^{\|x\|_1^2} K(s)ds$$

and

$$\psi(x) = \left(\int_0^{x_1} f_1(s) ds, \dots, \int_0^{x_n} f_n(s) ds \right)$$

for all $x \in X$. Fix $\mu \in \mathbb{R}^n$. In view of (a) and (b), there exist $\eta_1, \eta_2, \eta_3 > 0$ such that

$$\int_0^t K(s) ds \geq \eta_1 t - \eta_2 \quad (2.2)$$

for all $t \geq 0$ and

$$\left| \int_0^t f_k(s) ds \right| \leq \eta_3(t^2 + 1) \quad (2.3)$$

for all $t \in \mathbb{R}$, $k = 1, \dots, n$. Fix $x \in X$. Using (2.2) and the Cauchy–Schwarz inequality, we obtain

$$\begin{aligned} Q(x) - \langle \psi(x), \mu \rangle_2 &\geq \frac{1}{2} \eta_1 \|x\|_1^2 - \frac{1}{2} \eta_2 - |\langle \psi(x), \mu \rangle_2| \\ &\geq \frac{1}{2} \eta_1 \|x\|_1^2 - \frac{1}{2} \eta_2 - \|\mu\|_2 \|\psi(x)\|_2. \end{aligned} \quad (2.4)$$

On the other hand, in view of (2.3), for each $k = 1, \dots, n$, we have

$$\left| \int_0^{x_k} f_k(s) ds \right| \leq \eta_3(|x_k|^2 + 1)$$

and hence

$$\|\psi(x)\|_2 \leq \eta_3 \sqrt{\sum_{k=1}^n (|x_k|^2 + 1)} \leq \eta_3 \left(\sum_{k=1}^n |x_k|^2 + n \right). \quad (2.5)$$

Putting (2.1), (2.4) and (2.5) together, we get

$$Q(x) - \langle \psi(x), \mu \rangle_2 \geq \frac{1}{2} \eta_1 \|x\|_1^2 - \|\mu\|_2 \eta_3 (\gamma^2 \|x\|_1^2 + n) - \frac{1}{2} \eta_2. \quad (2.6)$$

Now, fix $\sigma > 0$ so that

$$\sigma < \min \left\{ \frac{\eta_1}{2\eta_3\gamma^2}, r \right\}.$$

Let Y be the closed ball in $\mathbb{R}^n$ centered at 0, of radius σ . If $\mu \in Y$, in view of (2.6), we have

$$\lim_{\|x\|_1 \rightarrow +\infty} (Q(x) - \langle \psi(x), \mu \rangle_2) = +\infty$$

and so the function $x \rightarrow Q(x) - \langle \psi(x), \mu \rangle_2$ is inf-compact. Further, observe that, by (c), the function ψ vanishes only at 0, while, by (a), 0 is not a global minimum of Q .

Clearly, Q is even and ψ is odd, in view of (c). In other words, each assumption of Theorem 2.2 is satisfied. Consequently, the number

$$\delta := \frac{1}{n} \left(\inf_{x \in X} \sup_{\mu \in Y} (Q(x) - \langle \psi(x), \mu \rangle_2) - \sup_{\mu \in Y} \inf_{x \in X} (Q(x) - \langle \psi(x), \mu \rangle_2) \right) \quad (2.7)$$

is positive. At this point, we apply Theorem 2.1 taking

$$h(x, \mu) = Q(x) - \langle \psi(x), \mu \rangle_2$$

for all $(x, \mu) \in X \times Y$. Fix n continuous functions $g_1, \dots, g_n : \mathbb{R} \rightarrow \mathbb{R}$ satisfying

$$\max_{1 \leq k \leq n} \left(\sup_{t \in \mathbb{R}} \int_0^t g_k(s) ds - \inf_{t \in \mathbb{R}} \int_0^t g_k(s) ds \right) < \delta \quad (2.8)$$

and consider the function $\varphi : X \rightarrow \mathbb{R}$ defined by

$$\varphi(x) = - \sum_{k=1}^n \int_0^{x_k} g_k(s) ds$$

for all $x \in X$. Clearly, in view of (2.7) and (2.8), we have

$$\begin{aligned} \sup_X \varphi - \inf_X \varphi &\leq \sum_{k=1}^n \left(\sup_{t \in \mathbb{R}} \int_0^t g_k(s) ds - \inf_{t \in \mathbb{R}} \int_0^t g_k(s) ds \right) \\ &\leq n \max_{1 \leq k \leq n} \left(\sup_{t \in \mathbb{R}} \int_0^t g_k(s) ds - \inf_{t \in \mathbb{R}} \int_0^t g_k(s) ds \right) \\ &< \inf_X \sup_Y h - \sup_Y \inf_X h. \end{aligned}$$

So, each assumption of Theorem 2.1 is satisfied. As a consequence, there exists $\tilde{\mu} \in Y$ such that the function

$$J_{\tilde{\mu}}(\cdot) := h(\cdot, \tilde{\mu}) + \varphi(\cdot)$$

has at least two global minima in X . It is clear that this function $J_{\tilde{\mu}}$ is C^1 , with derivative given by

$$J'_{\tilde{\mu}}(x)(y) = K \left(\sum_{h=1}^{n+1} |x_h - x_{h-1}|^2 \right) \langle x, y \rangle_1 - \sum_{k=1}^n g_k(x_k) y_k - \sum_{k=1}^n \tilde{\mu}_k f_k(x_k) y_k$$

for all $x, y \in X$. So, taking into account that

$$\langle x, y \rangle_1 = - \sum_{k=1}^n (x_{k+1} - 2x_k + x_{k-1}) y_k,$$

we have

$$\begin{aligned} J'_{\tilde{\mu}}(x)(y) = & -K \left(\sum_{h=1}^{n+1} |x_h - x_{h-1}|^2 \right) \sum_{k=1}^n (x_{k+1} - 2x_k + x_{k-1})y_k \\ & - \sum_{k=1}^n g_k(x_k)y_k - \sum_{k=1}^n \tilde{\mu}_k f_k(x_k)y_k \end{aligned} \quad (2.9)$$

for all $x, y \in X$. Since $J_{\tilde{\mu}}$ is coercive and has at least two global minima, by a classical theorem of Courant, it possesses at least three critical points which, by (2.9), are three solutions of the problem. $\square$

Here is a remarkable corollary of Theorem 2.3.

Corollary 2.4. *Let $f_1, \dots, f_n : \mathbb{R} \rightarrow \mathbb{R}$ be n continuous functions satisfying conditions (b) and (c) of Theorem 2.3. Then, for each $a, r > 0$ and $b < 0$, there exists a number $\delta > 0$ with the following property: for every n -tuple of continuous functions $g_1, \dots, g_n : \mathbb{R} \rightarrow \mathbb{R}$ satisfying*

$$\max_{1 \leq k \leq n} \left(\sup_{t \in \mathbb{R}} \int_0^t g_k(s) ds - \inf_{t \in \mathbb{R}} \int_0^t g_k(s) ds \right) < \delta,$$

there exists $(\tilde{\mu}_1, \dots, \tilde{\mu}_n) \in \mathbb{R}^n$, with $\sum_{k=1}^n |\tilde{\mu}_k|^2 < r^2$, such that the problem

$$\begin{cases} - \left(a \sum_{h=1}^{n+1} |x_h - x_{h-1}|^2 + b \right) (x_{k+1} - 2x_k + x_{k-1}) = g_k(x_k) + \tilde{\mu}_k f_k(x_k), & k=1, \dots, n, \\ x_0 = x_{n+1} = 0 \end{cases}$$

has at least three solutions.

Proof. It is enough to observe that the function $K(t) = at + b$ satisfies condition (a) of Theorem 2.3. $\square$

Remark 2.5. It is important to remark that the technique adopted in the proof Theorem 2.3 cannot be used to treat the non-discrete problem, keeping condition (a). This is due to the fact that, under condition (a), the functional

$$u \mapsto \int_{\Omega} |\nabla u(x)|^2 dx + \int_0^1 K(s) ds$$

is not weakly lower semicontinuous in $H_0^1(\Omega)$.

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